

Preparing for commercial GMP manufacture; areas for consideration

Daria Popova, Lead Technical Scientist Gina Basman, Validation Manager Doli Patel, Head of Quality Control





# Preparing for GMP manufacture

Daria Popova, Lead Technical Scientist

# **CGT Catapult manufacturing centre**



The centre provides access to the expertise, skills, facilities and equipment as the stepping stone needed for organisations to develop new technologies and systems for large scale manufacturing.



**Quality control** 



Qualified persons



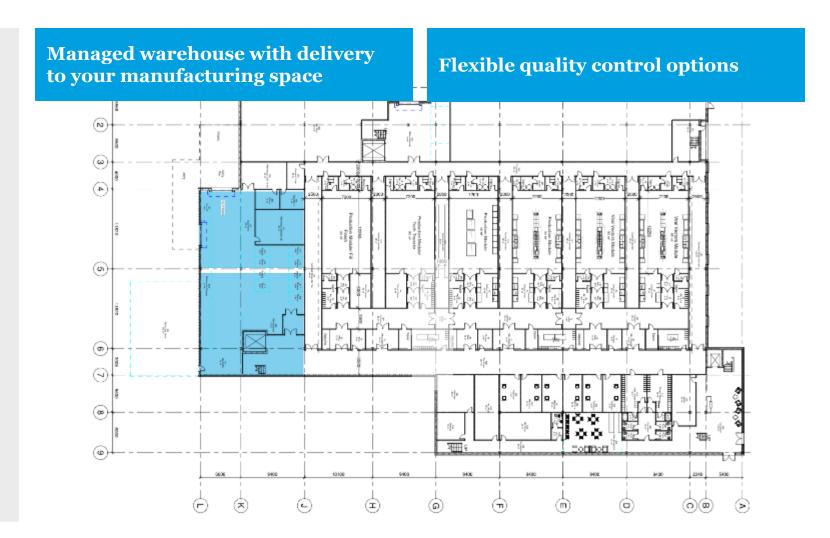
Operating policies



Warehouse management



Development assistance



# **Collaborating companies**



"CGT Catapult's unique operational model allows us to grow our manufacturing capacity, while accessing a range of services provided by the centre." **Jim Faulkner, SVP and Head of Product Delivery** 



"We are delighted to establish this collaboration for our next generation AAV gene therapy platform for chronic systemic disease." **Jan Thirkettle, Chief Development Officer** 



"We are looking forward to an important collaboration with CGT Catapult scaling-up GMP manufacturing strategies for commercial production." **Gregg Sando**, **CEO** 

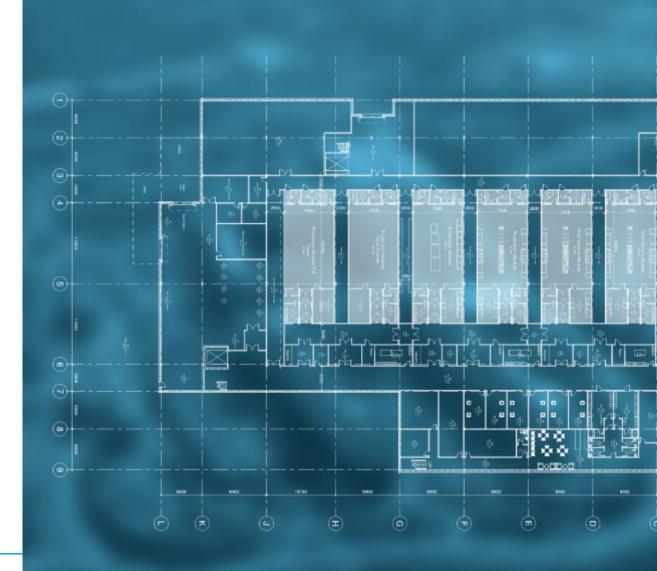


"With our own vector manufacturing capability at the Catapult facility, we will extend vector supply capacity beyond 2020." **James Noble, CEO** 



"The agreement with CGT Catapult enables us to meet our immediate clinical trials needs and have the flexibility of both our own dedicated manufacturing space" **Garry Menzel, Ph.D., President and CEO** 





# **Preparing for GMP manufacture**



Cleanroom Design & Set up Considerations

Path to GMP Readiness: Operational Perspective

Scheduling & Planning Challenge

Waste Management Challenge

Summary



# **Design considerations**



Consumables

Reagents

Patient/Starting Material

Equipment



Waste Consumables

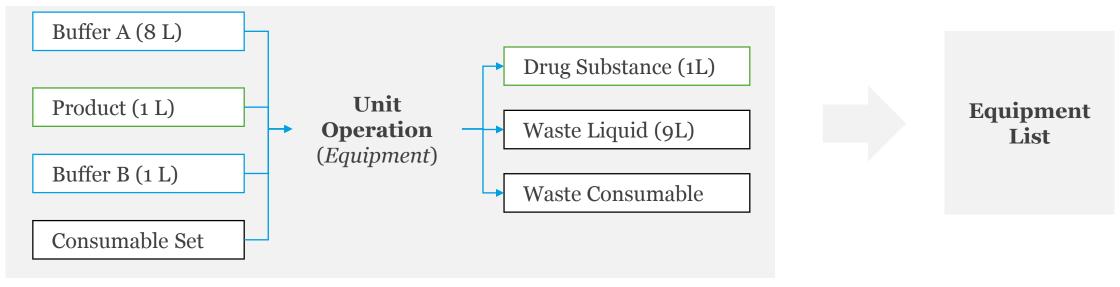
Waste Liquids

Product

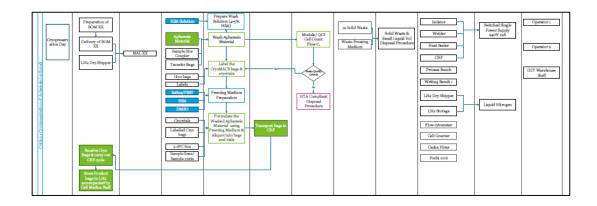
Rejected Product/Starting Materials

## Process mapping and mass/volume balance





#### Visual Tool

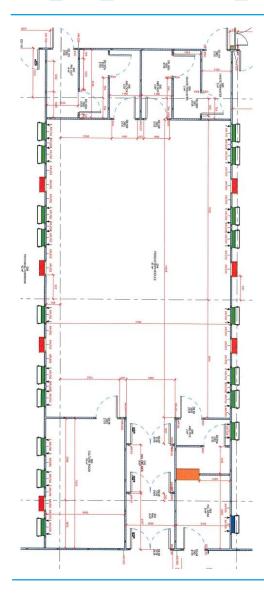


#### **Excel/Calculation Tools**

No	Re v	Materials /Consumables In	Volume In		Vol intermediat e or product		Process Step	Step Time		Cumulativ e Time		Solid Waste	Liquid waste out
1													
2													
3		Seed vial	0.01	L			Thawing of vial and	0.04	day	0.04	day	Seed vial	
4		Media	0.09	L	0.10	L	Inoculation into 0.5L					Pipette	
5		Shake Flasks					shake flasks						
6		Pipette					snake flasks						
7													
8													

## **Equipment placement**





**HVAC type & supply** 

#### **Utility provision**

- Power supply (UPS/generator/non-essential)
- Gas supply
- Chilling capacity

#### - Environmental monitoring

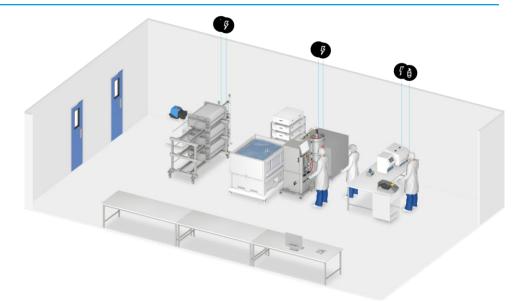
- Connection
- Cabling route

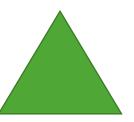
#### **Identify clear zones**

- air outlets
- equipment access routes
- Material, product & people movement routes

#### **Operational Considerations**

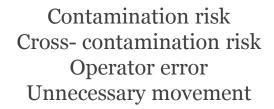
- -Segregation of unit operations
- Space & movement of auxiliary materials e.g., buffers/medium/welding stations/mobile equipment







Operational quality
Process efficiency
Process robustness
Operator safety
Cross- containment

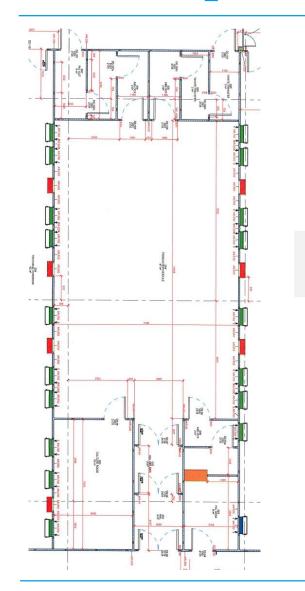




Maximise

Cleanroom Area

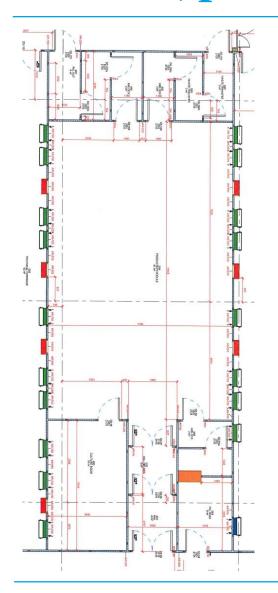
area



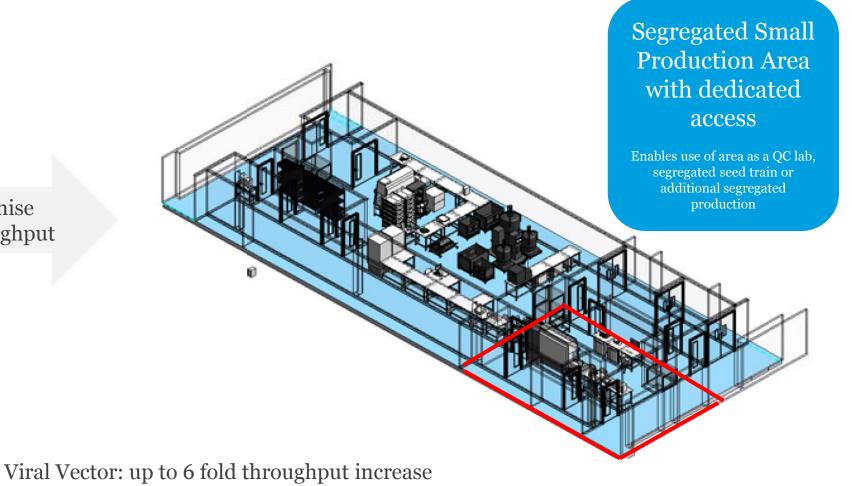
43% increase in production Maximise Throughput

Autologous: up to 25% throughput increase

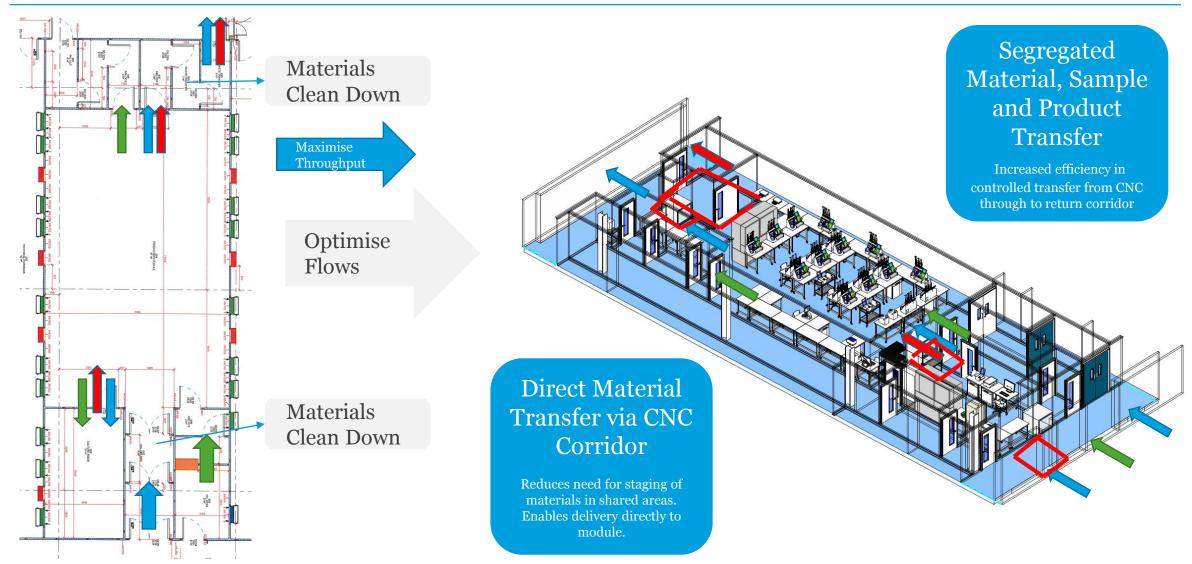




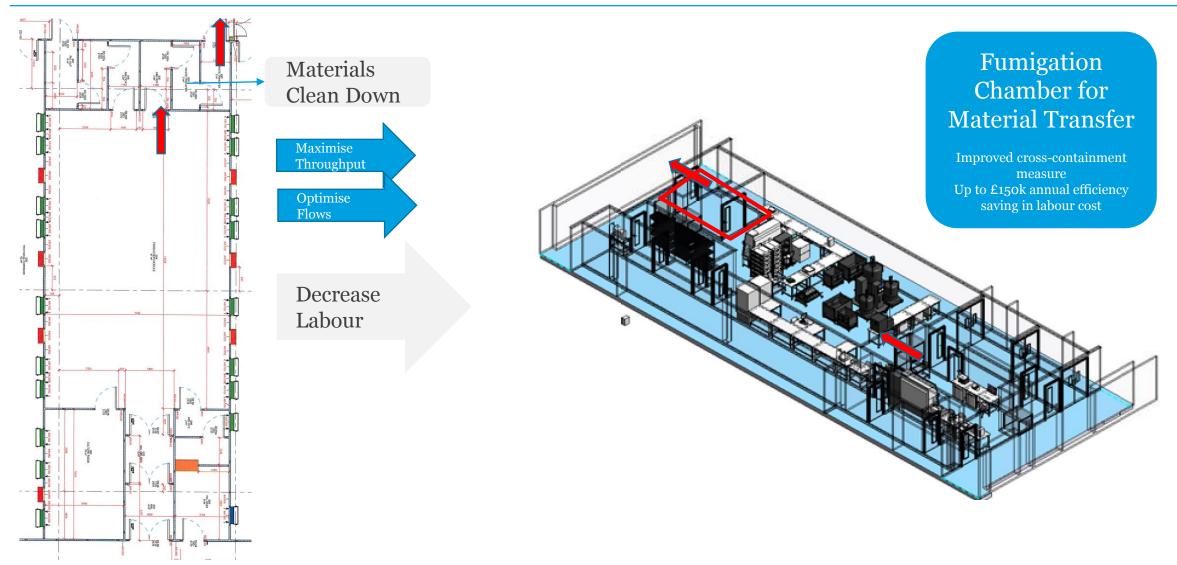
Maximise Throughput





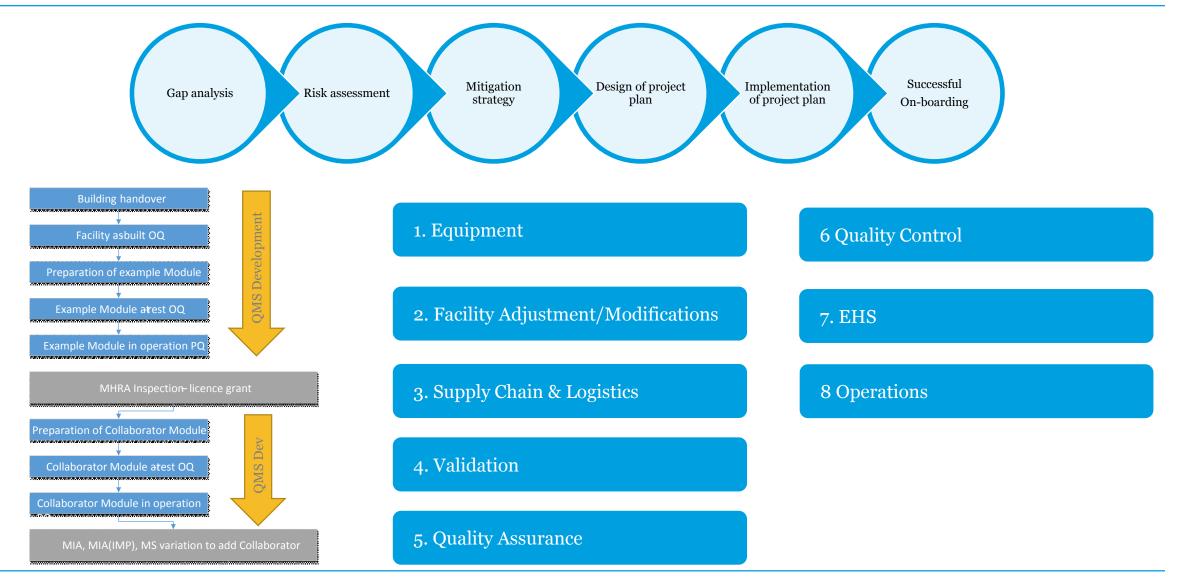






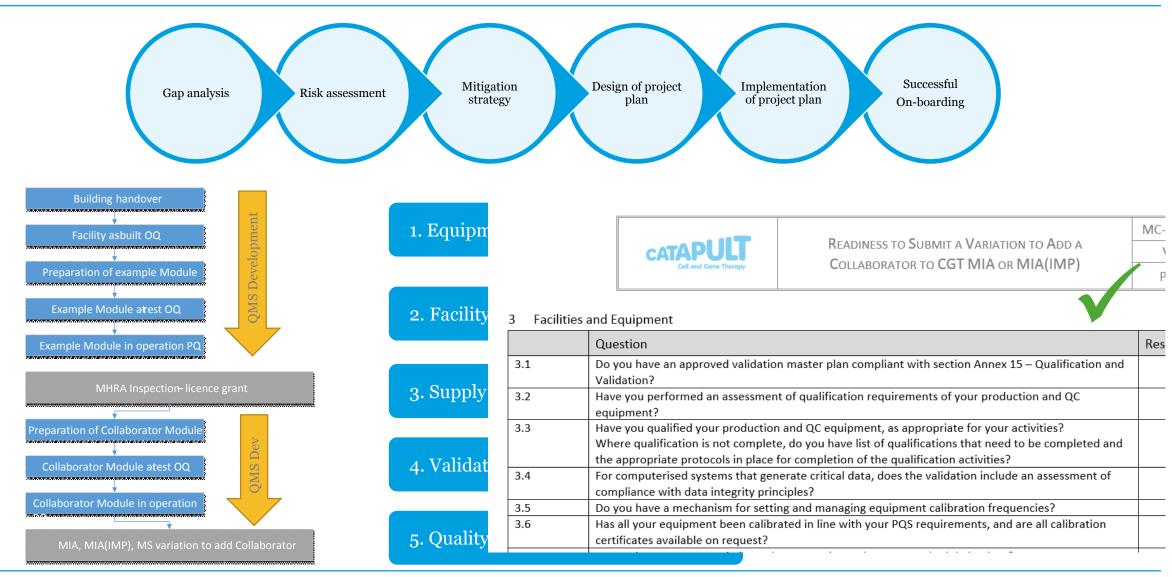
## Path to GMP readiness: Operational perspective





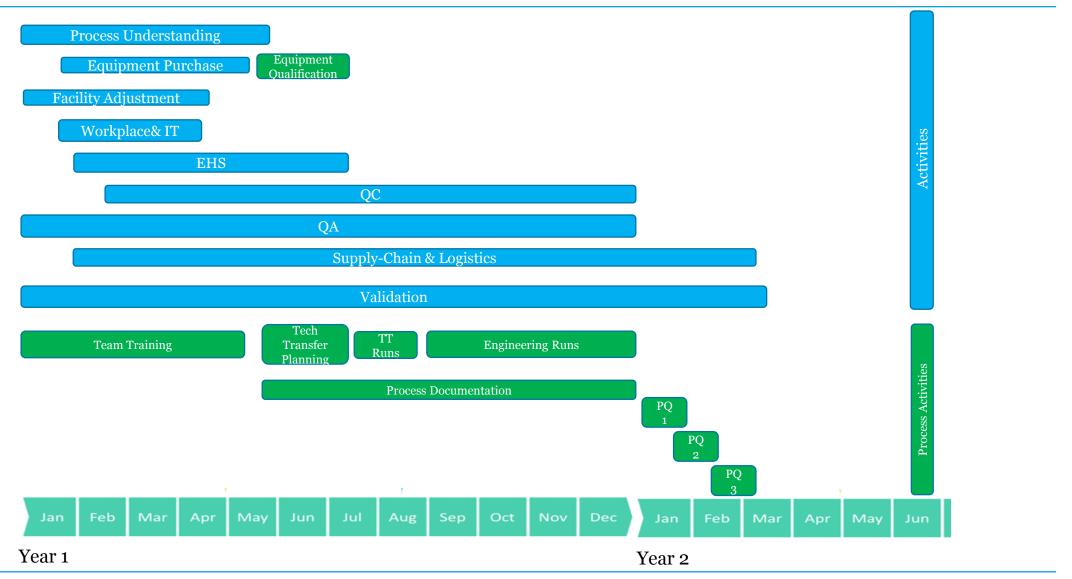
# Path to GMP readiness: Operational perspective





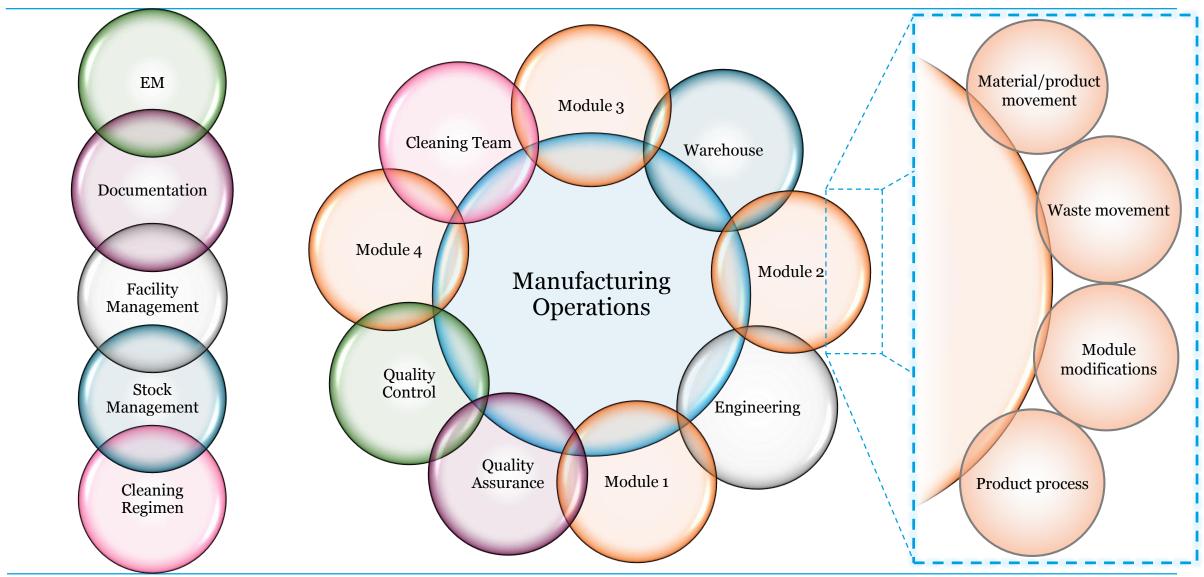
# Path to GMP readiness: Operational perspective





# Scheduling and planning challenge





# **Scheduling tool**



	Week						13				
	Date	26-N	lov-18	27-Nov-18 28-Nov-18				29-	Nov-18	30-Nov-18	
	TIME	IV	lon	Tue		Wed			Thu	Fri	
	7:00 AM										
	9:00 AM		Biological material movement		Process	Weekly Clean			Eng's on site		Product movement
	11:00 AM	Process		EM				Process		Process	
Module X	1:00 PM						Process		Material		
	3:00 PM				Material movement			movemen			
	5:00 PM Waste Movement				clean	Waste Movement		Waste Movement			
	7:00 PM										

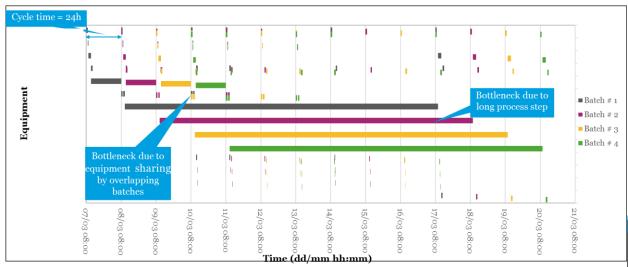
- Modules and MC common areas
- Maps Activities

- Rules
- Accessible to all facility teams

### **Scheduling tool: Process specific**

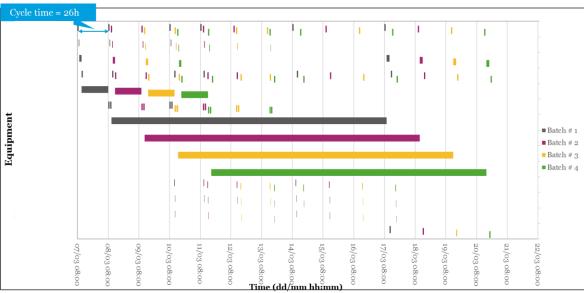


#### Detailed process schedule automated tool example



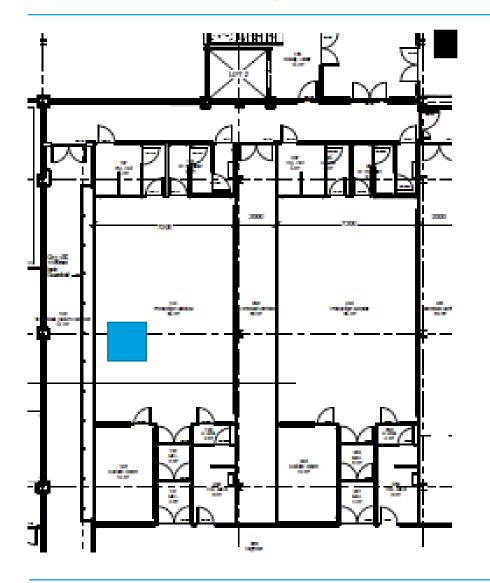
- Allow to determine rules for maximum capacity schedule
- Reduce operational risk
- Assist scheduling and decision making in detailed and high level planning

- Automated calendars for each piece of equipment
- In-built process step length
- Defined process step sequence to allow for automated schedule generation
- Visual and numerical outputs

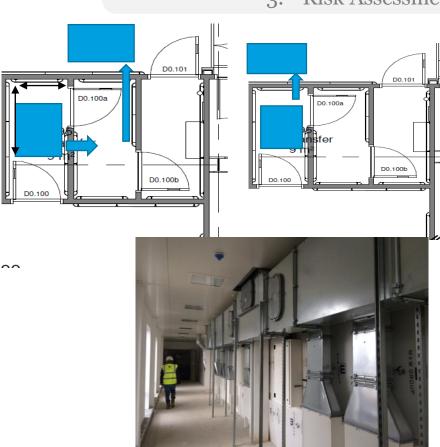


# Waste management challenge





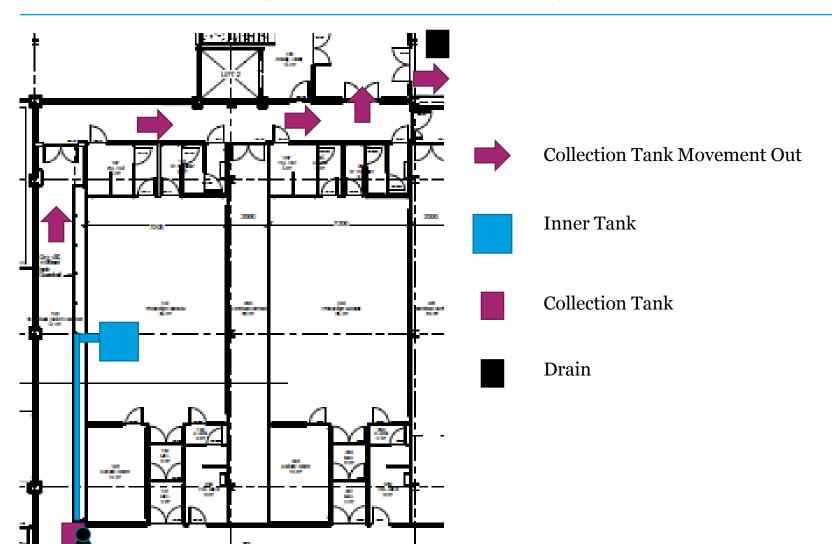
- 1. Brainstorm
- 2. Options Analysis
- 3. Risk Assessment





# Waste management challenge



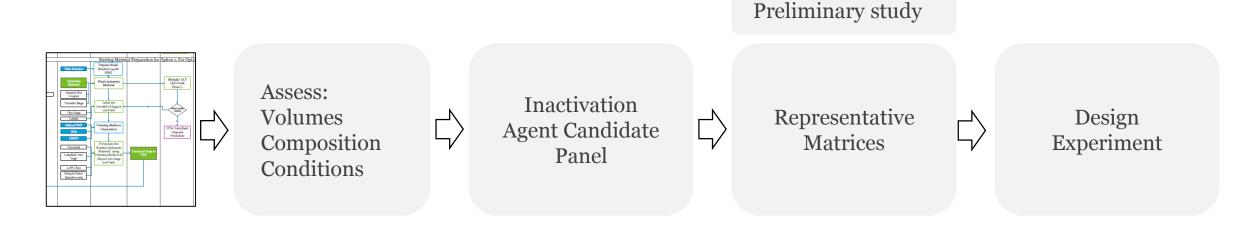




AdvantaPass

# **Inactivation of biological process waste streams**





Work to **component** level of detail

# **Consider Agent Inhibitory Factors:**

- Temperature conditions
- pH
- Salt concentration
- Buffering capacity
- Protein content

#### **Consider:**

- Waste Removal Route
- Waste Contractor
- Suitability for Drain
- Handleability
- Efficacy (available data)
- Need for pre-treatment prior to disposal
- Acceptance criteria

#### **Consider:**

- Contaminated stream (bacterial & fungal)
- Range of inhibitory materials
- Range of operating conditions
- Worst case vs DoE approach
- Matrix stability

#### **Consider:**

- Contracting out vs inhouse
- Type of study validation vs informative package
- One vs multiple studies
- Order of experiments
- Timeline

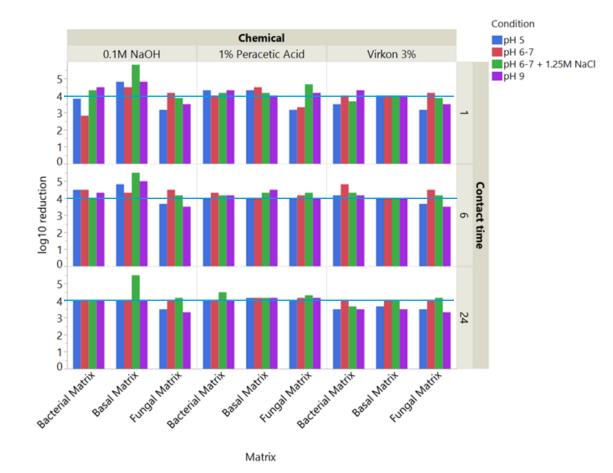
Develop appropriate rationale for acceptance criteria: e.g., EN 14476

# **Inactivation agent selection**



Inactivation Agent	Concentration	Inactivation Time (h)	Pass/Fail
Virkon	3%	6 hours	Pass
NaOH	0.1M	6 hours	Pass
Peracetic Acid	1% v/v	6 hours	Pass

- Additional considerations include:
  - Practical handling of reagents
  - Storage, use and disposal
  - Cost and supply reliability
  - Compatibility with trade effluent consent limits



### Summary



Process mapping and varying complexity of design tools are available to aid cleanroom design and fit out.

Quality, operations and project management collaborative approach is key to timely delivery of GMP readiness.

Activity scheduling can be a challenge during operational set up, the solution needs to be appropriate for the level of planning required.

Inactivation studies take time and effort. Not all waste can go down the drain.



## **Acknowledgements**



Marcia Mata Majahar Sayed Moira Francois Iris Valero Alexia Toufexi Ryan McCoy Vicky Adams

Husnah Hussein Stephen Shapka Kay Bussey Ken Murfitt Jingjing Li Zulekha Saiyad

Kasia Averall Julie Kerby Kwok Pang Jon Halling James Biggins



Establishing a cell and gene therapy manufacturing centre

Gina Basman, Validation Manager







User Requirement Specification



Validation Master Plan



Design Qualification



Commissioning, Offsite (FAT) / On Site (SAT) Testing

**Regulatory expectation:** "The manufacturer, or- as appropriate- the sponsor or marketing authorisation holder should define the specifications for the premises and equipment."

- Define scope, and
- deliverables for quality,
- engineering, IT and
- business compliance

-	er Requirement Specification for the Facility & Utilities at the CGT-MC	CATAP	ULT Sene Therapy	Cell and Gene Therapy Catapult, Stevenage BioSciences Catalyst Campus,		
ument Refer	ence Number: MC-SR999-URS-001 (version 1.0)					Hertfordshire, Uk
Ref No	User Requirement	Design Response	Design Specification Reference Document	Design Specification Document section number	User Requirement met?	Comments
DELIVERABL	ES (URS Section 7.0)					
7.1	The building will be built to meet the operational requirements of Eudralex Volume 4  Annex 1 and ISO 14644.					
7.2	Building designed to enable flow of people and materials from external access to shared areas, to individual modules and service rooms and provided with controls (e.g. airlocks, change areas, containment, access control etc.) to enable containment of individual collaborator material and prevent cross contamination.					
7.3	The layout of the CGTMC will minimise material crossovers of raw material, final product, samples for testing and waste.					
7.4	The testing function will be located in physically segregated space from cellular therapy processing areas.					
7.5	Individual modules to be designed to enable flexible use within them for different collaborators for Allogeneic, Autologous, Gene Modified and Tissue Products at Phase III Clinical Trials or Production scales including viral vector production with separate single pass through air handling units.					
7.6	All modules designed, built and qualified to achieve the air classifications specified above, including demonstration of 'at rest' and 'in operation' conditions					



User Requirement Specification



Validation Master Plan



Design Qualification



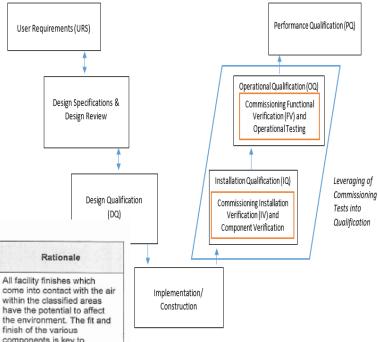
Commissioning, Offsite (FAT) / On Site (SAT) Testing

**Regulatory expectation:** "The key elements of the site qualification and validation programme should be clearly defined and documented in a validation master plan (VMP)."

- Validation Scope and Strategy
- Deliverables
- Roles and Responsibilities
- System Criticality Assessment

#### SLIA - SYSTEM LEVEL IMPACT ASSESSMENT

C	Description		A	ssess	ment	Criter	ia		Impact	Required				
System		1	2	3	4	5	6	7		Testing	Rationale			
Cleanroom Module 7		N	N	N	Y	N	N	N			All facility finishes which come into contact with the air			
Cleanroom Module 8				N	Υ	N	N	N			within the classified areas have the potential to affect the environment. The fit and			
Cleanroom Module 9	finishes, light fittings, doors and interlock	N	N	N	Υ	N	N	N	Direct Commissio and Qualif	Commissioned	finish of the various			
Cleanroom Module 10	systems, fixtures and fittings which form classified or GMP	N	N	N	Υ	N	N	Ñ		and Qualified				
Cleanroom Module 11	areas	N	N	N	Υ	N	N	N			key role in controlling the specified pressure cascades			
Cleanroom Module 12		N	N	N	Υ	N	N	N			and environments.  Each module will have acce control.			





User Requirement Specification



Validation Master Plan



**Design Qualification** 



Commissioning, Offsite (FAT) / Or Site (SAT) Testing

**Regulatory expectation:** "Compliance with user requirements should be demonstrated."

- Design Specifications
- Design Review
- Design Freeze
- Change Management
- Design Qualification Protocol

leaument D	Design Qualification Protocol for the Facility & Utilities at the CGT-MC (Completed)  eference Number: MC-SR999-DQ-001 (version 1.0)	CATAPULI Cell and Gene Therapy	Cell and Gene Therapy Catapult, Stevenage BioSciences Catalyst Campus, Hertfordshire. UK			
Ref No	ltser Requirement	Design Response	Design Specification Reference Document	Design Specification Document section number	User Requirement met?	Comments
EY DELIVE	FRABLES (URS Section 7.0)					
•		Areas within the production area of the new facility are classified in accordance with Armax 1 of EU GMP Good Manufacturing Practice. The Production Module HVAC system shall also be subject to the commissioning and performance testing requirements of 55 M844 - 2015-Centeromen and Associated Controlled Environments. Controlled Environments. Clearmore and deen air devices will be classified to achieve at rest classifications specified and in accordance with NISO M8441 and EU GMP Annex 1 Manufacture of sterile Medicinal Products at grade B and grade Coperational state. The facility will be licensed for the manufacture of therepacing conducts for human healthcare and will be subject to EU and where applicable US regulations.	Production Module HVAC FDS Production Module Cleannoom FDS	Sections 6.0, 7.0, 10.0 Section 4.0	Yes	rła
•	Building designed to enable flow of people and materials from external access to shared areas, to individual modules and service rooms and provided with controls (e.g. airlocks, change areas, containment, access control etc.) to enable containment of individual collaborator material and prevent cross contamination.	The flow of people, materials, product, waste, viral vectors and equipment is described in the Flow drawings. Access control is provided to each area to prevent the nusulationsed serviny of personnel to the classified areas of the facility. Changing rooms are designed as articoks and are used to provide physical separation of the different stages of changing and as minimisms merobal and particulate contamination of protective clothing. Door interlooks are provided to marrhant the segregation of areas of differing classification and use. The door interlooking are provided to marrhant he segregation of interlooking provided to the basis of the design for access control and door interlooking.	People Flows, Viral Vector Product Flows, Product, Material and Waste flows drawings is referenced! Production Module Cleannoom FDS	Section 6.0	Yes	rla



User Requirement Specification



Validation Master Plan



Design Qualification



Commissioning, Offsite (FAT) / On Site (SAT) Testing

**Regulatory expectation:** "Equipment, especially if incorporating novel or complex technology, may be evaluated, if applicable, at the vendor prior to delivery."

- Good Engineering Practices
- Agree inspection test plans
- Commissioning Master Plan
- Leveraging Matrix
- Documented Inspections
- Acceptance of pre-qualification activities
- FAT, SAT, Commissioning
- Handover

- 6.0 APPENDIX Test and Commissioning Schedule
- 6.1 Mechanical Systems

System: Clean Room HVAC (AHU01, AHU02, AHU03, AHU04, AHU05, AHU06)									
Qualified:	Yes								
		Offsite / Fa	ctory Testing						
		Test		Test Doc	cumentation				
Description	Carried Out By	Witnessed By	Results used in Qualification	Format	Written By				
AHU Inspection (1no. AHU only)	Mechanical Subcontractor	M+W Qualification Engineer	No	Inspection Report with Snag list.	M+W				
	*	Static / Pre-	commissioning						
		Test		Test Doc	umentation				
Description	Carried Out By	Witnessed By	Results used in Qualification	Format	Written By				
AHU Pressure Test	Mechanical Subcontractor	M+W Qualification Engineer	IQ	Individual Test	M+W Standard				
Duct Pressure Test	Mechanical Subcontractor	M+W Qualification Engineer	IQ	Individual Test	M+W Standard				



Installation Qualification



Operational Qualification



Performance Qualification



Validation Sign Off

**Regulatory expectation:** "The manufacturer or- as appropriatethe sponsor or marketing authorisation holder should verify that the premises/equipment comply with the user specifications and are in line with GMP requirements."

- Validation Protocols
- Verification of Installation and Functionality
- Leveraged Tests as per Plan
- Establishing Procedures



Installation Qualification Protocol for the Cleanroom Module 01 and its Dedicated Heating Ventilation Air Conditioning (HVAC) at Cell and Gene Therapy Catapult Manufacturing Centre (CGT-MC) MC-SR001-IQ-001 Version 1.0 Page 31 of 40

#### 13.0 Protocol Summary

The following provides an outline summary of the test sections in this protocol.

Section	Title	Pass/ Fail	Comments	Non- Conformance	Initial/Date
12.1	Installation has been carried out as per the as built drawings				
12.2	Commissioning activities for HVAC system has been completed				
12.3	The Cleanroom Module 01 is installed as per the design specification				



Installation Qualification



Operational Qualification



Performance Qualification



Validation Sign Off

**Regulatory expectation:** "The suitability of the premises and equipment to operate consistently in accordance with the requirements of the intended manufacturing process (assuming worst case conditions) should be tested. A test with surrogate materials or simulated product is acceptable."

- Verification of Performance
- Training
- Cleaning Qualification (where applicable)

#### 12.0 TEST SUMMARY

The following tests will be executed under the authority of this protocol.

Test Section	Test Title
13	Verification of Air Cleanliness by Particle Concentration (Run 1)
14	Verification of Air Cleanliness by Particle Concentration (Run 2)
15	Verification of Air Cleanliness by Particle Concentration (Run 3)
16	Verification of Viable Concentration (Run 1)
17	Verification of Viable Concentration (Run 2)
18	Verification of Viable Concentration (Run 3)
19	Review of the Environmental Conditions Within the Cleanroom Module



Installation Qualification



Operational Qualification



Performance Qualification



Validation Sign Off

**Regulatory expectation:** "A formal release for the next stage in the qualification and validation process should be authorised by the relevant responsible personnel either as part of the validation report approval or as a separate summary document."

- Validation Sign Off
- Quality Approval
- Regulatory Approval





Process / Equipment Qualification



Process Validation



Validated State



Periodic Evaluation

**Regulatory expectation:** "Equipment used in production or control operations should be suitable for its intended purpose and it should not present any hazard to the product."

- Process Equipment Qualification
- Data Collection, Critical Process Parameters
- Process Development / Optimisation

**Regulatory expectation:** "The validation of analytical methods is intended to ensure the suitability of the analytical methods for the intended purpose."

- QC Equipment Qualification
- Analytical Test Method Validation



Process Prequalification Runs



**Process Validation** 



Validated State



Periodic Evaluation

**Regulatory expectation:** "The aim of the process validation for ATMPs is to demonstrate that the finished product characteristics are within a given range (in compliance with the terms of the marketing authorisation)."

- Validation Protocol
- Strategy to Process Validation
- Critical Process Parameters
- Critical Quality Attributes





Process Prequalification Runs



Process Validation



Validated State



Periodic Evaluation

**Regulatory expectation:** "Manufacturers should monitor product quality to ensure that a state of control is maintained throughout the product lifecycle with the relevant process trends evaluated."

- Product Process Monitoring
- Environmental Monitoring
- Calibration Program
- Preventative Maintenance Program
- Change Control Management
- Non Conformance Process



#### **Validation Considerations**









Periodic Evaluation

**Regulatory Expectation**: "Equipment, facilities, utilities and systems should be evaluated at an appropriate frequency to confirm that they remain in a state of control."

- Periodic Review Schedule (risk based)
- Periodic Qualification Tests
- Temperature Mapping
- Decommissioning



MC-SR038-P Periodic Review Report for the CGT-MC Binder Incubators

Version 1

Page 4 of

#### Reference Documentation

The following table details the last approved report for the Binder Incubators:

Last Validation Summary Report No.	MC-SR038-VSR-001	Approval Date	15 April 2019*
Preceding Periodic Review Report No.	First review	Review Period	12 Months

<sup>\*</sup> The review period has been taken from the approval of the PQ report conducted on the 21 Dec 17 (ref MC-SR038-PQR-001), therefore making the review date January 2018 to March 2019.

#### Review of Key Documentation

### **Key Points**



- Validation is a lifecycle that begins with a conceptual design of the manufacturing process or facility and ends with system retirement.
- Validation activities and requirements are taken into account at the earliest stages of the design process.
- Risk-based approach where supplier testing is leveraged and used to reduce repetitive validation testing.
- Activities that support the manufacturing process such as analytical methods, and computer systems are adequately validated.
- The need for periodic reviews, changes to the validated system and revalidation activities are adequately addressed.

### References



- 1. The principles of Orange Guide 'Rules and Guidance for Pharmaceutical Manufacturers and Distributors' (MHRA)
- 2. EU EudraLex Vol 4 -
  - Annex 15: Qualification & Validation
  - Annex 1: Manufacture of Sterile Medicinal Products
  - Annex 11: Computerised Systems
  - Part 4: GMP Requirements for Advanced Therapy Medicinal Products
- 3. International Conference on Harmonisation (ICH) Q9 Quality Risk Management and Q10 Pharmaceutical Quality Systems guides will be used to support the validation studies.
- 4. International standards, such as ISO 14644
- 5. ISPE GAMP 5 : Compliant GxP Computerised Systems
- 6. ISPE Baseline Guide Vol 5: Commissioning and Qualification
- 7. The Genetically Modified Organisms (Contained Use) Regulations 201
- 8. FDA Guidance for Industry Process validation: General Principles and Practices



# **Quality control considerations**

Doli Patel, Head of Quality Control

# **Quality Control Considerations**



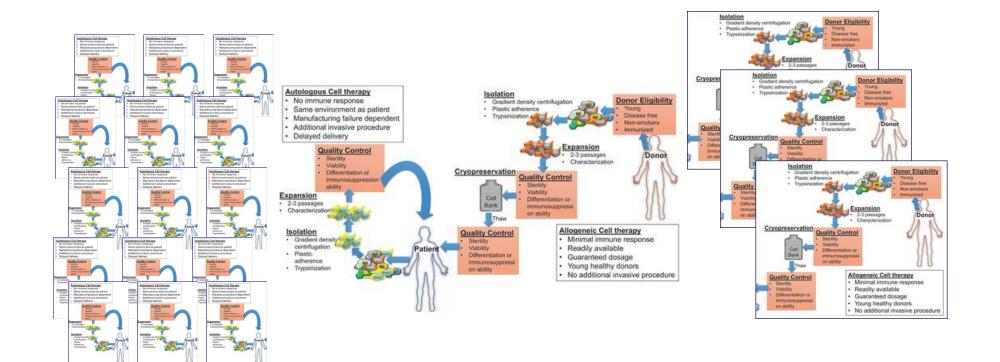






### QC load Vs. Process Model

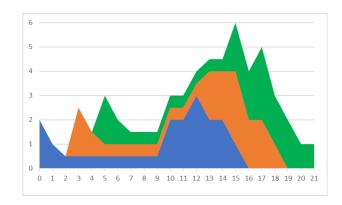




## **QC** provision



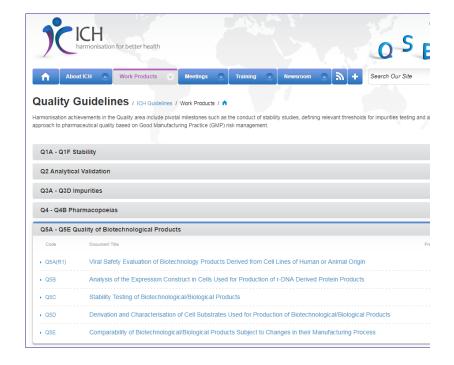
- Approximately half of all cell therapy products under clinical development are autologous therapies
  - *Product release several complex assays*
  - manual and time consuming
  - Each individual patient treatment is a separate batch that requires product release
- Significant strain on its way for a QC facility can limit the number of products that can be released



As companies strive to improve manufacturing processes to increase throughput the ability to release products will become an industry bottleneck

### **QC** profiling





N PHARMACOPOEIA 9.0

5.14. Gene transfer medicinal p

#### ENE TRANSFER MEDICINAL CTS FOR HUMAN USE

chapter is published for information. chapter contains a series of texts on gene transfer roducts for human use. The texts provide a frequirements applicable to the production and uses products. For a specific medicinal product, of these requirements and the need for any further bod by the competent authority. The texts are be applicable to approve products the need for of part or all of the texts to products used during phases of clinical trails is decided by the competent her provisions of the chapter do not exclude the artisty production and control methods that are leaves to the chapter of o the competent authority.

tiled recommendations on gene transfer medicinal human use are provided by the Note for Guidance Tilly. Preclinical and Clinical Aspects of Gene authorised, the vector in the final authorised, the vector in the final Tty, Preclinical and Clinical Aspects of Gene
dicinal Products (CPMP/BWP/3088/99) and the n Development and Manufacture of Lentiviral seed lot than were used to prepare the LMP/BWP/2458/03) of the Committee for Medicinal trials to be satisfactory with respect to · Human Use (including any subsequent revisions of SUBSTRATE FOR VECTOR PROPAG

01/2010:51400 bacterial endotoxin contamination. T used complies with the relevant corres (Purified water (0008), Highly purified injections (0169)). Where boying seruwith the monograph Bovine serum (2: antibiotics is avoided wherever possible

Viral safety. The requirements of cha Transmissible spongiform encephalerisk assessment of the product with re spongiform encephalopathies is carrie measures are taken to minimise such i

Recombinant ve





#### **EUROPEAN COMMISSION**

HEALTH AND CONSUMERS DIRECTORATE-GENERAL

Health systems and products Medicinal products - quality, safety and efficacy

Brussels, 28 March 2014

#### **EudraLex**

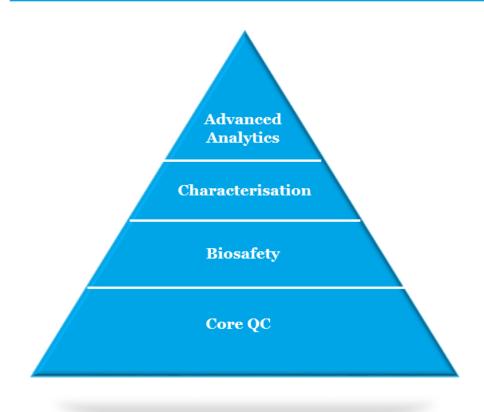
The Rules Governing Medicinal Products in the European Union

Volume 4 **EU Guidelines for Good Manufacturing Practice for** Medicinal Products for Human and Veterinary Use

> Part 1 Chapter 6: Quality Control

# **Quality Control – Strategy and Delivery**







## QC delivery – Building an affordable model



- Date integrity
- Validation cycles
- Staff Training
- Staff Availability
- Assay life cycles
- Modernization
- Capital Investment





### References



- 1. The principles of Orange Guide 'Rules and Guidance for Pharmaceutical Manufacturers and Distributors' (MHRA)
- 2. EU EudraLex Vol 4 -

Annex 15: Qualification & Validation

Annex 1: Manufacture of Sterile Medicinal Products

Annex 11: Computerised Systems

Part 4: GMP Requirements for Advanced Therapy Medicinal Products

3. International Conference on Harmonisation (ICH)

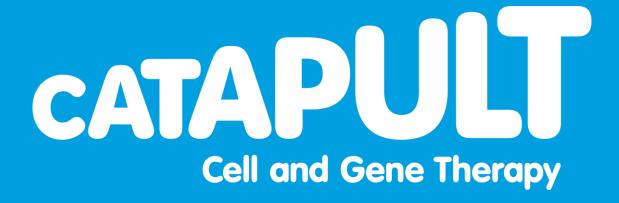
Q2 Validation of Analytical Procedures

Q9 Quality Risk Management

Q10 Pharmaceutical Quality Systems guides will be used to support the validation

studies.

- 4. The Genetically Modified Organisms (Contained Use) Regulations 2014
- 5. European Pharmacopoeia



Cell and Gene Therapy Catapult is committed to ensuring high standards of research integrity and research best practice in the activities we carry out. We subscribe to the principles described in the UK concordat to support research integrity.

Cell and Gene Therapy Catapult is a trading name of Cell Therapy Catapult Limited, registered in England and Wales under company number 07964711, with registered office at 12th Floor Tower Wing, Guy's Hospital, Great Maze Pond, London, SE1 9RT. VAT number 154 4214 33.

12th Floor Tower Wing Guy's Hospital Great Maze Pond London SE1 9RT

info@ct.catapult.org.uk ct.catapult.org.uk Twitter: @CGTCatapult

We work with Innovate UK